

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the U.S. Postal Service, in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on this 1st day of October, 2003.

Signed: Joseph J. Pophal  
Joseph J. Pophal

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Paul G. Szymaszek, et al. )  
 ) Art Unit:  
 )  
 ) Examiner:  
 )  
Serial No.: )  
 )  
Filed: Concurrently Herewith )  
 )  
For: Pilot Operated Valve With Variable Piston )  
Orifice )  
 )

Mail Stop Patent Application  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Applicant(s) wish to disclose the following information:

REFERENCES

- ☒ The Applicant(s) wish to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

### RELATED CASES

- ☒ Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

The undersigned certifies that

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

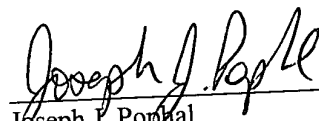
### PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to **Deposit Account No. 16-0325**.  
A duplicate copy of this sheet is enclosed.

Respectfully submitted

  
\_\_\_\_\_  
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| Related Cases: |   |   |                  |
|----------------|---|---|------------------|
| Serial Number  | Inventors                               | Title   | Filing Date      |
| 60/424,120     | Paul G. Szymaszek<br>Ricky J. VanSeters | Pilot Operated Valve With Variable Piston Orifice | November 5, 2002 |
|                |   |   |                  |
|                |   |   |                  |
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|   |                                     |             |
|---|-------------------------------------|-------------|
| Subst. Form PTO-1449<br>APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT | Atty. Docket No.: 2802-205-004      | Serial No.: |
|   | Applicant: Paul G. Szymaszek, et al |             |
|   | Filing Date: Concurrently herewith  | Group:      |

## U.S. PATENT DOCUMENTS

| Initial* |    | Document No. | Date     | Name                  | Class | Subcl. | Filing Date |
|----------|----|--------------|----------|-----------------------|-------|--------|-------------|
|          | AA | 1,046,236    | 12/03/12 | Wagner, F.            |       |        |             |
|          | AB | 2,694,544    | 11/16/54 | Hall, E. A.           |       |        |             |
|          | AC | 3,020,925    | 02/13/62 | Randall, R. D., et al |       |        |             |
|          | AD | 5,078,240    | 01/07/92 | Ackermann, et al.     |       |        |             |
|          | AE | 5,253,672    | 10/19/93 | Uehara, et al.        |       |        |             |
|          | AF | 6,105,608    | 08/22/00 | Katzman               |       |        |             |

## FOREIGN PATENT DOCUMENTS

|  |    | Document No. | Date | Country | Class | Subcl. | Translation? |
|--|----|--------------|------|---------|-------|--------|--------------|
|  | BA |              |      |         |       |        |              |
|  | BB |              |      |         |       |        |              |

## OTHER PRIOR ART

|  |    |   |
|--|----|---|
|  | CA | CDS Electric Evaporator Control Valves, Bulletin 100-40, May 2000.          |
|  | CB | Sealed Motor Valve, Bulletin R629a, February 2002.                          |
|  | CC | Electric Pilot Regulator Type A4AJ, Bulletin 23-12D, February 2000.         |
|  | CD | Electrically Compensated Pressure Regulators, Bulletin 23-11, January 1997. |
|  | CE | Inlet Pressure Regulators, Bulletin 23-05, February 1991.                   |

|           |                  |
|-----------|------------------|
| Examiner: | Date Considered: |
|-----------|------------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

360/22971ALA.20G